### **40.25.4 APPENDIX 4 TO ATTACHMENT HH**

## PRE-APPLICATION REQUEST FORM

#### 1. Instructions

Pursuant to Section 40.4.2 of Attachment HH to the NYISO Open Access Transmission Tariff, a prospective Interconnection Customer ("Requestor") may request a Pre-Application Report from the NYISO regarding the proposed interconnection of a Generating Facility or Cluster Study Transmission Project at a particular point on the New York State Transmission System or Distribution System. To request a Pre-Application Report, Requestor must complete and execute this request form and submit the form to the NYISO via the NYISO Interconnection Projects Portal, along with submitting a non-refundable fee of \$5,000 for each Point of Interconnection (POI). Requestor must provide a substantive answer to each of the questions in this request form and should not specify that the requested information is "to be determined" or "not available." Requestor should direct any questions regarding the requested information and the completion of this form to:

Designated Contact	Stakeholder Services IP Support Team
Person:	
Telephone Number:	518-356-6060, Option#2
E-Mail Address:	stakeholder_services_ipsupport@nyiso.com

Requestor shall submit the fee electronically via wire transfer. Wiring instructions are provided in the NYISO Interconnection Projects Portal.

Upon its confirmation of a completed request form and its receipt of the required fee, the NYISO will send the request form to the relevant Connecting Transmission Owner for completion of the Pre-Application Report in the form set forth in Appendix A to this request form. The Connecting Transmission Owner shall complete this report to the extent readily available data exists. If the ISO, in consultation with the relevant Connecting Transmission Owner, determines that the interconnection, as proposed, does not appear to be subject to the NYISO's interconnection procedures under the NYISO OATT, (1) the NYISO will inform the Requestor that its proposed interconnection is not subject to the NYISO's interconnection procedures, and (2) the Connecting Transmission Owner will provide the Requestor with the Pre-Application Report set forth in Appendix A that is completed to the extent possible. The Pre-Application Report is non-binding and does not confer any rights or obligations.

Notwithstanding its request for a Pre-Application Report, a Requestor must still successfully complete the interconnection requirements set forth in Attachment HH to the NYISO OATT to interconnect to the New York State Transmission System or Distribution System, to the extent that the NYISO OATT is applicable to the proposed interconnection.

# 2. Project Overview

	<b>Project Name:</b>	Name:										
	<b>Requestor:</b>	Address:										
		Name:										
C	Contact Person:	Email:										
		Phone #:										
	Project Type	(e.g., gener	ation, transmis	sion, con	nbine	d reso	urce	:)				
En	ergy Source(s):	(e.g, solar,	wind, energy s	torage, et	tc.)							
N	Nameplate Size:	MW:		MVA	:							
For	storage facility:											
		Capac	ity (MWh):									
	Ma	x Charging										
		Discharging										
	Max aggr	egate injecti										
			(MWh/hr):									
T 0		***			D. T.							
If combined resource, will storage charge from grid? (Yes/No):												
						):						
				grid? (Yo	es/No	): _						
	imated Initial Ba			grid? (Yo	es/No	):						
				grid? (Yo	es/No	): _						
Est		ckfeed Date:		grid? (Yo	es/No	 						
Est	imated Initial Ba	ckfeed Date:		grid? (Yo	es/No	):						
Est Pro	imated Initial Ba	ckfeed Date:	ocation:		es/No	):						
Est Pro	imated Initial Ba	ckfeed Date:	ocation:		es/No	): _						
Est Pro	imated Initial Ba	ckfeed Date:  nd Project L  ission Owne	ocation:		es/No	): _						
Est Pro	imated Initial Ba  oposed POI(s) ar  onnecting Transm	ckfeed Date:  nd Project L  ission Owne	ocation:		es/No	):						
Est Pro	imated Initial Ba  oposed POI(s) ar  onnecting Transm	ckfeed Date:  nd Project L  ission Owne	ocation:		es/No	):						
Est Pro	imated Initial Ba  oposed POI(s) ar  onnecting Transm	ckfeed Date:  nd Project L  ission Owne	ocation:		es/No	):						
Est Pro Co Af	imated Initial Bacoposed POI(s) are connecting Transm	ckfeed Date:  nd Project L  ission Owne	ocation:		es/No	):						
Est Pro Co Af	imated Initial Bacoposed POI(s) are connecting Transm	ckfeed Date:  nd Project L  ission Owne	ocation:		es/No	):						
Est Pro Co Af	primated Initial Bar proposed POI(s) are connecting Transm fected Transmiss Primary POI	ckfeed Date:  nd Project L  ission Owner ion Owner(s	ocation:		es/No	):						
Est Pro Co Af	imated Initial Bacoposed POI(s) and onnecting Transmomered Transmiss  Primary POI  Station Name:	ckfeed Date:  nd Project L  ission Owner ion Owner(s	ocation:		es/No	):						
Est Pro Co Af	imated Initial Bacoposed POI(s) and onnecting Transmomered Transmiss  Primary POI  Station Name:	ckfeed Date:  nd Project L  ission Owne ion Owner(s	ocation: r (CTO), if known:	own:								
Est Pro Co Af	posed POI(s) are connecting Transmer of Transmiss  Primary POI  Station Name: Line Name:	ckfeed Date:  nd Project L  ission Owner ion Owner(s	ocation: r (CTO), if known: Long):	own:								
Est Pro Co Af	imated Initial Bacoposed POI(s) are connecting Transmiss  Primary POI  Station Name: Line Name:	ckfeed Date:  nd Project L  ission Owner ion Owner(s	ocation: r (CTO), if known: Long):	own:								
Est Pro Co Af	posed POI(s) are connecting Transmer of Transmiss  Primary POI  Station Name: Line Name: POI Location (Expected POI V	ckfeed Date:  nd Project L  ission Owner ion Owner(s)  Decimal Lat / oltage (34.5)	cocation:  r (CTO), if known:  Long):  kV, 115 kV, et	own: tc):								
Est Pro Co Af	posed POI(s) are connecting Transmer of Transmiss  Primary POI  Station Name: Line Name:	ckfeed Date:  nd Project L  ission Owner ion Owner(s)  Decimal Lat / oltage (34.5)	cocation:  r (CTO), if known:  Long):  kV, 115 kV, et	own: tc):								
Est Pro Co Aff a.	posed POI(s) are connecting Transmiss  Primary POI  Station Name: Line Name:  POI Location (E) Expected POI V	ckfeed Date:  nd Project L  ission Owner ion Owner(s  Decimal Lat / oltage (34.5)  r Breaker Le	cocation:  r (CTO), if known:  Long):  kV, 115 kV, et	own: tc):								
Est Pro Co Aff a.	posed POI(s) are connecting Transmer of Transmiss  Primary POI  Station Name: Line Name: POI Location (Expected POI V	ckfeed Date:  nd Project L  ission Owner ion Owner(s  Decimal Lat / oltage (34.5)  r Breaker Le	cocation:  r (CTO), if known:  Long):  kV, 115 kV, et	own: tc):								
Est Pro Co Aff a.	posed POI(s) are connecting Transmer of Transmiss  Primary POI  Station Name:  Line Name:  POI Location (DExpected POI V)  Conceptual of Secondary POI	ckfeed Date:  nd Project L  ission Owner(s)  occimal Lat / oltage (34.5)  r Breaker Le	cocation:  r (CTO), if known:  Long):  kV, 115 kV, et	own: tc):								
Est Pro Co Aff a.	posed POI(s) are connecting Transmiss  Primary POI  Station Name: Line Name:  POI Location (E) Expected POI V	ckfeed Date:  nd Project L  ission Owner ion Owner(s)  Decimal Lat / oltage (34.5)  r Breaker Le	cocation:  r (CTO), if known:  Long):  kV, 115 kV, et	own: tc):								

					ment HH - Standard Interconnection Procedures> 40.25 pendix 4 Pre-Application Request Form
		Expected Po	OI Voltage (34.5)	kV, 115 kV, etc): _	
		☐ Conceptu	ual or Breaker Lev	vel One Line Diagra	ım Provided
	c.	Project Loc	cation:		
		-	ntifying the location out, property bour		tion to proposed POI(s) (e.g., preliminary
4.	Ne	ew or Existin	g Service:		
	Ne	ew Service Re	equested (yes or n	o):	
	If	No, and there	is existing service	e, provide:	
		Customer A	ccount Number:		
		Site Load:			
			Minimum (kW)	Maximum (kW)	
		Current Proposed			
	If	known, will tl	he facility be used	d for the following:	
		□ Net Mete	vina		
			ning y power only to tl	ne Requestor	
		11 .	, ,	1	sales over the New York State Transmission
			Distribution System	•	sales over the flew Fork State Fransission
5.	Ad	lditional Info	ormation:		
		Is the project	an uprate to a pro	oject in the current	Queue or an existing facility.
	If	yes, provide d	lescription:		

Additional Information or Comments:

NYISO Tariffs> Open Access Transmission	Tariff (OATT)> 40 Attachment HF	I - Standard Interconnection Procedures> 40.2
OATT Att HH Appendices to Attachment HH -	-> 40.25.4 OATT Att HH Appendix 4	Pre-Application Request Form

<b>6.</b>	Req	uestor	Sign	ature

I hereby certify that, to the best of my knowledge	, all the information provided in this Pre-
Application Request Form is true and correct.	
Degraaften	Detai
Requestor:	Date:

# APPENDIX A PRE-APPLICATION REPORT

This Pre-Application Report has been completed based on readily available data. The information provided is preliminary and non-binding and does not confer any rights on the part of the Requestor or obligations on the part of the Connecting Transmission Owner. Information is provided based on applicability to the proposed Point(s) of Interconnection ("POI(s)").

Pr	oject						
Th	is Pre-Application Re	eport is for	the fo	llowing prop	posed project:		
	oposed Primary PO	[					
a.	Transmission or Di	istribution	Line				
	Line Na	ame		Utility Line Id Number	Id Numbe	er (PSS/e	Voltage (kV)
	☐ FERC Jurisdiction	onal Distrib	oution		Networked	☐ Radial	
	Ratings (MVA):						
		Normal	LT	E STE			
	Summer Winter						
	Terminal End Statio	ns:					
		Name			Distance to PO (miles)	I	
	Th	Proposed Primary PO  a. Transmission or Di  Line Na  FERC Jurisdiction  Ratings (MVA):  Summer  Winter	This Pre-Application Report is for  Proposed Primary POI  a. Transmission or Distribution  Line Name  FERC Jurisdictional Distrib  Ratings (MVA):  Normal  Summer  Winter  Terminal End Stations:	This Pre-Application Report is for the fo  Proposed Primary POI  a. Transmission or Distribution Line  Line Name    FERC Jurisdictional Distribution Ratings (MVA):    Normal LTI Summer   Winter    Terminal End Stations:	This Pre-Application Report is for the following proposed Primary POI  a. Transmission or Distribution Line  Line Name  Utility Line Id Number  FERC Jurisdictional Distribution  Ratings (MVA):  Normal LTE Summer Winter  Terminal End Stations:	This Pre-Application Report is for the following proposed project:  Proposed Primary POI  a. Transmission or Distribution Line  Line Name  Utility Line Id Number  From  FERC Jurisdictional Distribution  Networked  Ratings (MVA):  Normal LTE SUMMER  Winter  Terminal End Stations:  Name  Distance to PO	This Pre-Application Report is for the following proposed project:  Proposed Primary POI  a. Transmission or Distribution Line  Line Name Utility Line Id Number (PSS/e From/To)  FERC Jurisdictional Distribution Networked Radial  Ratings (MVA):  Normal LTE STE Summer Winter  Terminal End Stations:  Name Distance to POI

For a Generation Facility or Cluster Study Transmission Project and sub-transmission or distribution POIs:

Circuit I	Loading	(MW)	):
		( )	, -

Peak	
Minimum	

Generation (MW):

Existing	
Proposed	

Additional information ( <i>e.g.</i> , potential new substation bus configuration, transmission constraints, planned transmission upgrades, parallel lines, breaker rating, existing/known constraints):

## b. Substation

Name	PSS/e Bus Number	Voltage (kV)

☐ FERC Jurisdictional Distribution

Substation Connected Line Ratings (MVA):

Line Information		S	Summer			Winter	
Line Name	Utility Line Id Number	Normal	LTE	STE	Normal	LTE	STE
			1 1 1				
			! ! !				
			1				

For a Generation Facility or Cluster Study Transmission Project and sub-transmission or distribution POIs:

Customer Load (MW):

Peak	
Minimum	

	Generation (MW):  Existing Proposed				
	Additional information (e.g., know planned transmission upgrades, bre		•		positions;
3. Pr a.	oposed Secondary POI Transmission or Distribution Lin	ne			
	Line Name	Utility Line Id Number	Id Number	Bus Numbers and Circuit Volta Id Number (PSS/e From/To)	
	□ FERC Jurisdictional Distribution  Ratings (MVA):    Normal L     Summer     Winter     Terminal End Stations:	TE STE	l Networked	□ Radial	
	Name		PSS/e Bus Number	Distance (mil	
	For a Generation Facility or Cluste distribution POIs:  Circuit Loading (MW):	er Study Trans	mission Project and	l sub-transmi	ssion or

Minimum

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Peak

const	itional informat	tion (e.g., potent transmission u				-		
Subs	station	Name			PSS/	e Bus	Voltage	
		rvame				nber	(kV)	
	ERC Jurisdiction		- (MO/A).					
	station Connect	ed Line Ratings	S	Summer	STE	Normal	Winter	STI
	station Connect	ed Line Ratings			STE	Normal	Winter LTE	STI
	station Connect	ed Line Ratings  ormation  Utility Line	S		STE	Normal		STI
	station Connect	ed Line Ratings  ormation  Utility Line	S		STE	Normal		STI
Subs For a	Line Inf Line Name	ed Line Ratings  ormation  Utility Line	Normal	LTE			LTE	
Subs For a	Line Inf Line Name  a Generation Fa	ormation Utility Line Id Number acility or Cluste	Normal	LTE			LTE	

	` •	* •	y issues, available breaker /known constraints):	positio

NYISO Tariffs --> Open Access Transmission Tariff (OATT) --> 40 Attachment HH - Standard Interconnection Procedures --> 40.25 OATT Att HH Appendices to Attachment HH --> 40.25.4 OATT Att HH Appendix 4 Pre-Application Request Form