

40.25.4 APPENDIX 4 TO ATTACHMENT HH

PRE-APPLICATION REQUEST FORM

1. Instructions

Pursuant to Section 40.4.2 of Attachment HH to the NYISO Open Access Transmission Tariff, a prospective Interconnection Customer (“Requestor”) may request a Pre-Application Report from the NYISO regarding the proposed interconnection of a Generating Facility or Cluster Study Transmission Project at a particular point on the New York State Transmission System or Distribution System. To request a Pre-Application Report, Requestor must complete and execute this request form and submit the form to the NYISO via the NYISO Interconnection Projects Portal, along with submitting a non-refundable fee of \$5,000 for each Point of Interconnection (POI). Requestor must provide a substantive answer to each of the questions in this request form and should not specify that the requested information is “to be determined” or “not available.” Requestor should direct any questions regarding the requested information and the completion of this form to:

Designated Contact Person:	Stakeholder Services IP Support Team
Telephone Number:	518-356-6060, Option#2
E-Mail Address:	stakeholder_services_ipsupport@nyiso.com

Requestor shall submit the fee electronically via wire transfer. Wiring instructions are provided in the NYISO Interconnection Projects Portal.

Upon its confirmation of a completed request form and its receipt of the required fee, the NYISO will send the request form to the relevant Connecting Transmission Owner for completion of the Pre-Application Report in the form set forth in Appendix A to this request form. The Connecting Transmission Owner shall complete this report to the extent readily available data exists. If the ISO, in consultation with the relevant Connecting Transmission Owner, determines that the interconnection, as proposed, does not appear to be subject to the NYISO’s interconnection procedures under the NYISO OATT, (1) the NYISO will inform the Requestor that its proposed interconnection is not subject to the NYISO’s interconnection procedures, and (2) the Connecting Transmission Owner will provide the Requestor with the Pre-Application Report set forth in Appendix A that is completed to the extent possible. The Pre-Application Report is non-binding and does not confer any rights or obligations.

Notwithstanding its request for a Pre-Application Report, a Requestor must still successfully complete the interconnection requirements set forth in Attachment HH to the NYISO OATT to interconnect to the New York State Transmission System or Distribution System, to the extent that the NYISO OATT is applicable to the proposed interconnection.

2. Project Overview

Project Name:		
Requestor:	Name:	
	Address:	
Contact Person:	Name:	
	Email:	
	Phone #:	
Project Type	(e.g., generation, transmission, combined resource)	
Energy Source(s):	(e.g, solar, wind, energy storage, etc.)	
Nameplate Size:	MW:	MVA:

For storage facility:

Capacity (MWh):	
Max Charging (MWh/hr):	
Max Discharging (MWh/hr):	
Max aggregate injection (hybrid) (MWh/hr):	

If combined resource, will storage charge from grid? (Yes/No): _____

Estimated Initial Backfeed Date: _____

3. Proposed POI(s) and Project Location:

Connecting Transmission Owner (CTO), if known:

Affected Transmission Owner(s), if known:

a. Primary POI

Station Name:	
Line Name:	

POI Location (Decimal Lat / Long): _____

Expected POI Voltage (34.5 kV, 115 kV, etc): _____

☐ Conceptual or Breaker Level One Line Diagram Provided

b. Secondary POI

Station Name:	
Line Name:	

POI Location (Decimal Lat / Long): _____

Expected POI Voltage (34.5 kV, 115 kV, etc): _____

☐ Conceptual or Breaker Level One Line Diagram Provided

c. Project Location:

☐ Map identifying the location of project in relation to proposed POI(s) (e.g., preliminary general layout, property boundaries, etc.)

4. New or Existing Service:

New Service Requested (yes or no): _____

If No, and there is existing service, provide:

Customer Account Number: _____

Site Load:

	Minimum (kW)	Maximum (kW)
Current		
Proposed		

If known, will the facility be used for the following:

- ☐ Net Metering
- ☐ To supply power only to the Requestor
- ☐ To supply power to others through wholesale sales over the New York State Transmission System or Distribution System.

5. Additional Information:

☐ Is the project an uprate to a project in the current Queue or an existing facility.

If yes, provide description:

Additional Information or Comments:

6. Requestor Signature

I hereby certify that, to the best of my knowledge, all the information provided in this Pre-Application Request Form is true and correct.

Requestor: _____ Date: _____

APPENDIX A PRE-APPLICATION REPORT

This Pre-Application Report has been completed based on readily available data. The information provided is preliminary and non-binding and does not confer any rights on the part of the Requestor or obligations on the part of the Connecting Transmission Owner. Information is provided based on applicability to the proposed Point(s) of Interconnection (“POI(s)”).

1. Project

This Pre-Application Report is for the following proposed project:

2. Proposed Primary POI

a. Transmission or Distribution Line

Line Name	Utility Line Id Number	Bus Numbers and Circuit Id Number (PSS/e From/To)	Voltage (kV)

☐ FERC Jurisdictional Distribution

☐ Networked

☐ Radial

Ratings (MVA):

	Normal	LTE	STE
Summer			
Winter			

Terminal End Stations:

Name	Distance to POI (miles)

For a Generation Facility or Cluster Study Transmission Project and sub-transmission or distribution POIs:

Circuit Loading (MW):

Peak	
Minimum	

Generation (MW):

Existing	
Proposed	

Additional information (e.g., potential new substation bus configuration, transmission constraints, planned transmission upgrades, parallel lines, breaker rating, existing/known constraints):

b. Substation

Name	PSS/e Bus Number	Voltage (kV)

☐ FERC Jurisdictional Distribution

Substation Connected Line Ratings (MVA):

Line Information		Summer			Winter		
Line Name	Utility Line Id Number	Normal	LTE	STE	Normal	LTE	STE

For a Generation Facility or Cluster Study Transmission Project and sub-transmission or distribution POIs:

Customer Load (MW):

Peak	
Minimum	

Generation (MW):

Existing	
Proposed	

Additional information (e.g., known physical feasibility issues, available breaker positions; planned transmission upgrades, breaker rating, existing/known constraints):

3. Proposed Secondary POI

a. Transmission or Distribution Line

Line Name	Utility Line Id Number	Bus Numbers and Circuit Id Number (PSS/e From/To)	Voltage (kV)

☐ FERC Jurisdictional Distribution

☐ Networked

☐ Radial

Ratings (MVA):

	Normal	LTE	STE
Summer			
Winter			

Terminal End Stations:

Name	PSS/e Bus Number	Distance to POI (miles)

For a Generation Facility or Cluster Study Transmission Project and sub-transmission or distribution POIs:

Circuit Loading (MW):

Peak	
Minimum	

Generation (MW):

Existing	
Proposed	

Additional information (e.g., potential new substation bus configuration, transmission constraints, planned transmission upgrades, parallel lines, breaker rating, existing/known constraints):

b. Substation

Name	PSS/e Bus Number	Voltage (kV)

☐ FERC Jurisdictional

Substation Connected Line Ratings (MVA):

Line Information		Summer			Winter		
Line Name	Utility Line Id Number	Normal	LTE	STE	Normal	LTE	STE

For a Generation Facility or Cluster Study Transmission Project and sub-transmission or distribution POIs:

Customer Load (MW):

Peak	
Minimum	

Generation (MW):

Existing	
Proposed	

Additional information (*e.g.*, known physical feasibility issues, available breaker positions,
planned transmission upgrades breaker rating, existing/known constraints):
