

## Attachment IV

## 2.13 Definitions - M

**Major Emergency State:** An Emergency accompanied by abnormal frequency, abnormal voltage and/or equipment overloads that create a serious risk that the reliability of the NYS Power System could be adversely affected.

**Marginal Losses:** The NYS Transmission System Real Power Losses associated with each additional MWh of consumption by Load, or each additional MWh transmitted under a Bilateral Transaction as measured at the Points of Withdrawal.

**Marginal Losses Component:** The component of LBMP at a bus that accounts for the Marginal Losses, as measured between that bus and the Reference Bus.

**Market-Clearing Price:** The price determined in an Installed Capacity auction for each ISO-defined Locality, the remainder of the NYCA and each adjacent External Control Area for which all offers to sell and bids to purchase Unforced Capacity are in equilibrium.

**Market Mitigation and Analysis Department:** A department, internal to the ISO, that is responsible for participating in the ISO's administration of its Tariffs. The Market Mitigation and Analysis Department's duties are described in Section 30.3 of the Market Monitoring Plan that is set forth in Attachment O to this Services Tariff.

**Market Monitoring Unit:** "Market Monitoring Unit" shall have the same meaning in this ISO Services Tariff as it has in the Market Monitoring Plan that is set forth in Attachment O to this Services Tariff.

**Market Participant:** An entity, excluding the ISO, that produces, transmits, sells, and/or purchase for resale Unforced Capacity, Energy or Ancillary Services in the Wholesale Market. Market Participants include: Transmission Customers under the ISO OATT, Customers under the ISO Services Tariff, Power Exchanges, Transmission Owners, Primary Holders, LSEs, Suppliers and their designated agents. Market Participants also include entities buying or selling TCCs.

**Market Problem:** An issue which requires notification to Market Participants, the Commission and the Market Monitoring Unit pursuant to Section 3.5.1 of this Services Tariff. It includes market design flaws, software implementation and modeling anomalies or errors, market data anomalies or errors, and economic inefficiencies that have a material effect on the ISO-administered markets or transmission service. The term does not include erroneous Energy or Ancillary Services prices (which are managed through procedures outlined in Attachment E to the Services Tariff) or erroneous customer settlements.

**Market Services:** Services provided by the ISO under the ISO Services Tariff related to the ISO Administered Markets for Energy, Capacity and Ancillary Services.

**MCZ Import Constrained Locality:** A Mitigated Capacity Zone that is also an Import Constrained Locality.

**Member Systems:** The eight Transmission Owners that comprised the membership of the New York Power Pool, which are: (1) Central Hudson Gas & Electric Corporation, (2) Consolidated Edison Company of New York, Inc., (3) New York State Electric & Gas Corporation, (4) Niagara Mohawk Power Corporation d/b/a National Grid, (5) Orange and Rockland Utilities, Inc., (6) Rochester Gas and Electric Corporation, (7) the Power Authority of the State of New York, and (8) Long Island Lighting Company d/b/a Long Island Power Authority.

**Meter Services Entity (“MSE”):** An entity registered with the ISO and authorized to provide metering and meter data services, as applicable, to a Responsible Interface Party or Curtailment Service Provider.

**Minimum Generation Bid:** A two-parameter Bid that identifies the minimum operating level a Supplier requires to operate a Generator, and the payment a Supplier requires to operate its Generator at that level, or the minimum quantity of Demand Reduction a Demand Side Resource requires to provide Demand Reduction and the payment the Supplier requires to provide that level of Demand Reduction. If the Supplier is a BTM:NG Resource, LESR, or an Energy Storage Resource, it shall not submit a Minimum Generation Bid.

**Minimum Generation Level:** For purposes of describing the eligibility of ten minute Resources to be committed by the Real Time Dispatch for pricing purposes pursuant to the Services Tariff, Section 4.4.3.3, an upper bound, established by the ISO, on the physical minimum generation limits specified by ten minute Resources. Ten minute Resources with physical minimum generation limits that exceed this upper bound will not be committed by the Real Time Dispatch for pricing purposes. The ISO shall establish a Minimum Generation Level based on its evaluation of the extent to which it is meeting its reliability criteria including Control Performance. The Minimum Generation Level, in megawatts, and the ISO's rationale for that level, shall be made available through the ISO's website or comparable means. If the Supplier is a BTM:NG Resource, LESR, or an Energy Storage Resource, it shall not submit a Minimum Generation Level.

**Minimum Payment Nomination:** An offer, submitted by a Responsible Interface Party, in dollars per Megawatt-hour and not to exceed \$500 per Megawatt-hour, to reduce Load equal to the Installed Capacity Equivalent of the amount of Unforced Capacity a Special Case Resource is supplying to the NYCA.

**Mitigated Capacity Zone:** New York City and any Locality added to the definition of “Locality” accepted by the Commission on or after March 31, 2013.

**Modified Wheeling Agreement (“MWA”):** A Transmission Wheeling Agreement between Transmission Owners that was in existence at the time of ISO start-up, as amended and modified as described in Attachment K. Modified Wheeling Agreements are associated with Generators or power supply contracts existing at ISO start-up. All Modified Wheeling Agreements are listed in Attachment L, Table 1A, and are designated in the “Treatment” column of Table 1A, as “MWA”.

**Monthly Auction:** An auction administered by the ISO pursuant to Section 5.13.3 of the ISO Services Tariff.

**Monthly Average Coincident Load (“Monthly ACL”):** Beginning with the Summer 2014 Capability Period, the Load value calculated for each month during a Capability Period applicable to a Special Case Resource with a reported Incremental Average Coincident Load. The Monthly ACL is an average of the SCR’s metered hourly Load that is supplied by the NYS Transmission System and/or the distribution system and reported for the Monthly SCR Load Zone Peak Hours applicable to such SCR. The calculation and verification data reporting requirements are provided in Section 5.12.11.1.5 of this Services Tariff and ISO Procedures. Any Load supported by generation produced from a Local Generator, other behind-the-meter generator, or other supply source located behind the meter operating during the Monthly SCR Load Zone Peak Hours may not be included in the metered Load values reported for the Monthly ACL.

**Monthly Net Benefit Offer Floor:** The price, in \$/MWh, determined by the ISO pursuant to Section 4.2.1.9 of the ISO Services Tariff and ISO Procedures, below which offers submitted by Demand Reduction Providers shall not be evaluated in the ISO’s Security Constrained Unit Commitment.

**Monthly SCR Load Zone Peak Hours:** Beginning with the Summer 2014 Capability Period, the top forty (40) coincident peak hours for each month within a Capability Period that include hour beginning eleven through hour beginning nineteen as identified by the ISO for each Load Zone; provided, however, that such hours shall not include (i) hours in which Special Case Resources located in the specific Load Zone were called by the ISO to respond to a reliability event or test, (ii) hours for which the Emergency Demand Response Program resources were deployed by the ISO in each specific Load Zone and (iii) in descending rank order of NYCA Load up to a maximum of eight hours per month, a) the hour before the start time of a reliability event or performance test, in which SCRs located in the specific Load Zone were called by the ISO to respond to a reliability event or performance test, or b) the hour immediately following the end time of such reliability event or performance test.

**Mothball Outage:** The outage state in which a Market Participant’s Generator is voluntarily removed from service on or after May 1, 2015, with applicable prior notice, for reasons not related to equipment failure. A Generator in Mothball Outage is subject to the return-to-service provisions in Section 5.18.4 of this Services Tariff and is ineligible to participate in the Installed Capacity market.

## **13     Metering**

### **13.1        General Requirements**

Existing metering in the NYCA provides revenue-quality metering information among the currently designated electrical zones separated by the designated transmission Interfaces. In addition, sufficient metering information will be made available by the ISO to calculate Load for the individual Transmission Owners within each Load Zone. The ISO will require adequate metering for all Generators and Loads within the NYCA to ensure the reliable operation of the NYS Power System.

### **13.2        Requirements Pertaining to Customers**

Customers shall provide to the ISO such information and data as the ISO reasonably deems necessary in order to perform its functions and fulfill its responsibilities under the ISO Services Tariff and in accordance with the ISO Market Power Monitoring Program. Such information will be provided on a timely basis and in the formats prescribed in the ISO Procedures. The ISO shall establish metering specifications and standards for all metering that is used as a data source by the ISO. Customers shall install and maintain such metering at their own expense, and deliver data to the ISO without charge.

A Customer taking service under the ISO Services Tariff will make available to the ISO metered data that meets ISO requirements by one of the following means: (i) direct transmission to the ISO; (ii) direct transmission to the ISO through Transmission Owner communications equipment, or (iii) indirectly through metering provided by the Transmission Owner in whose Load Zone it is located.

The Customer also shall provide its metered data to the Transmission Owner in whose Load Zone it is located, to the extent that the Transmission Owner determines that the metered data provided to the ISO is required for its system operation and planning functions, for the billing of services it provides to the Customer, or to perform calculations required as part of the ISO Settlement procedures.

#### **13.2.1 Load Serving Entities**

Any Load that is not directly metered, as described above, will have its Load determined by the Transmission Owner in whose Load Zone it is located in accordance with the Transmission Owner's retail access plan on file with the PSC or otherwise authorized.

#### **13.2.2 Ancillary Service Suppliers**

Suppliers shall ensure that adequate metering data is made available to the ISO as described above. Additionally, for operational purposes, metered data provided to the ISO must also simultaneously be provided to the Transmission Owner, which will handle such information in conformity with the OASIS standards of conduct as specified in Order No. 889.

#### ~~13.2.3 Third Party Metering Services~~

~~Customers whose metering services are provided by third parties qualified under rules, regulations and procedures of applicable state regulatory authorities shall be responsible to ensure that all data described in this section are satisfactorily made available to the ISO and applicable Transmission Owner(s) by those third parties.~~

#### **13.2.34 Estimation of Metering**

In the event of a meter malfunction or inadequate metering data, the ISO may use estimates to determine Customer's rights and responsibilities under the ISO Services Tariff.

### **13.3 Metering Requirements for Demand Side Resources**

#### **13.3.1 Responsibility for Metering and Meter Data Services for Aggregations and Demand Side Resources**

##### **13.3.1.1 An Aggregator, Responsible Interface Party, or Curtailment Service**

Provider shall obtain metering and meter data services, as these services are defined in ISO Procedures, from: (i) the Member System in which Transmission District the Aggregation or Demand Side Resource is located, and/or (ii) an authorized Meter Services Entity that the ISO has determined complies with the eligibility requirements pursuant to Section 13.3.2.1. A Responsible Interface Party, or Curtailment Service Provider that meets the eligibility requirements in Section 13.3.2.1 may serve as its own Meter Services Entity.

##### **13.3.1.2 The Responsible Interface Party or Curtailment Service Provider shall be**

responsible for ensuring that all of the metering and meter data services that are required for it to perform its functions and fulfill its responsibilities under the ISO Tariffs and ISO Procedures are provided by the Member System and/or Meter Services Entity in accordance with the requirements in this Section 13 and ISO Procedures. The Responsible Interface Party or Curtailment Service Provider shall be responsible for any applicable penalties issued as a result of metering or meter data services that do not comply with the ISO Tariffs and ISO Procedures, including, but not limited to, penalties issued pursuant to Services Tariff Sections 5.12 and 5.14.

##### **13.3.1.3 A Responsible Interface Party or Curtailment Service Provider shall be**

responsible for any required compensation to the Member System and/or Meter Services Entity concerning the provision of metering and/or meter data services.

In accordance with Services Tariff Section 15.10 (Rate Schedule 10), Responsible Interface Parties and Curtailment Service Providers shall be responsible for the ISO's costs of conducting audits pursuant to Section 13.3.2.3.

### **13.3.2 Meter Services Entity Requirements**

#### **13.3.2.1 Eligibility Determination for Meter Services Entity**

To be authorized as a Meter Services Entity, an entity must complete, to the NYISO's satisfaction, the application requirements specified in Services Tariff Section 13.3.2.1.2 below. Once authorized, a Meter Services Entity must meet the obligations set forth in Services Tariff Sections 13.3.2.1.3 and 13.3.2.1.4. All physical metering infrastructure and meter data communications infrastructure used by a Meter Services Entity must comply with the requirements set forth in the ISO Tariffs and ISO Procedures.

13.3.2.1.1 An entity, including a Responsible Interface Party or Curtailment Service Provider, seeking to be a Meter Services Entity must submit to the ISO an application containing the eligibility information required pursuant to Section 13.3.2.1.2, accompanied by a non-refundable application fee of \$1,000. The ISO shall review the application within thirty (30) calendar days of its receipt of the application and fee, and notify the applicant whether the application is sufficient to register the applicant as a Meter Services Entity or otherwise requires additional information. Any additional information required shall be received by the ISO within the timeframe specified by the ISO in its request for additional information. The ISO shall reject the application of an entity seeking to become a Meter Services Entity if the required information is not received within the specified timeframe or an alternative, mutually agreed to timeframe.



Upon the ISO's affirmative determination of an entity's eligibility, the entity will be registered with the ISO and authorized to serve as a Meter Services Entity in order to provide metering and/or meter data services, as applicable, to a Responsible Interface Party or Curtailment Service Provider. The ISO shall post on its website a list of all authorized Meter Services Entities.

If the ISO determines an entity is not eligible to serve as a Meter Services Entity, the ISO shall provide the entity with the reasons for such determination. An entity that seeks to re-apply to be a Meter Services Entity shall also be required to pay the \$1,000 application fee.

13.3.2.1.2 An entity seeking to be a Meter Services Entity must provide, at a minimum, the following eligibility information, as detailed in ISO Procedures: (i) financial eligibility and insurance coverage information; (ii) proof of eligibility to do business in New York State; (iii) a list of the Transmission Owner(s) service territory(ies) in which it will provide services; (iv) a description of the metering and/or meter data services that it will provide; (v) its attestation of its employees' qualifications, training, and certification to perform the listed services; (vi) a description of the meter testing laboratory facilities, including its attestation that its meter testing programs comply with ISO Procedures and Good Utility Practice; (vii) its agreement that its services will be subject to audit by the ISO, the Transmission Owners, and/or their designated agents, as applicable; (viii) its agreement to comply with the metering requirements in the ISO Tariffs and ISO Procedures, as such requirements may be amended from time to time; (ix) a revenue-grade settlement meter and real-time telemetry data plan; (x) a meter data validation, editing, and estimation plan; (xi) a security plan and description of

how it will protect meter equipment and/or meter data from unauthorized physical or electronic entry or tampering; (xii) a description of how and where records of meter installations and/or meter data will be kept, and its agreement to retain these records in accordance with the ISO's recordkeeping requirements; and (xiii) any other information required by ISO Procedures or requested by the ISO.

13.3.2.1.3 A Meter Services Entity shall promptly inform the ISO, in accordance with ISO procedures, of any material change to the eligibility information it has previously submitted to the ISO pursuant to Section 13.3.2.1.2. The ISO shall review all such material changes and determine whether the Meter Services Entity complies with all eligibility requirements. If the NYISO determines that the Meter Services Entity does not comply with the eligibility requirements, it may suspend the Meter Services Entity's eligibility until such time that it complies with those eligibility requirements.

13.3.2.1.4 The ISO shall inform registered Meter Services Entities of changes related to Meter Services Entity eligibility requirements via posting to the ISO's public website and electronic mail. A Meter Services Entity has a continuing obligation to comply with the eligibility requirements in this Section 13 and ISO Procedures and the metering and meter data requirements in the ISO Tariffs and ISO Procedures, as the requirements may be amended from time to time. Each Meter Services Entity shall inform the ISO, in accordance with ISO Procedures, and received by the date specified in the ISO's posting, of its compliance with the identified changes to eligibility criteria. If the Meter Services Entity is unable to comply with the changes by the specified date, it shall provide the ISO with a

detailed plan to comply. The ISO shall review all such plans and determine whether to extend the compliance deadline, or to suspend the Meter Services Entity's eligibility until such time that it complies with all eligibility requirements.

### **13.3.2.2 Standards of Conduct for Meter Services Entities**

A Meter Services Entity must treat all customers, Affiliated and non-Affiliated, on a non-discriminatory basis, and must not make or grant any undue preference or advantage to any person or subject any person to any undue prejudice or disadvantage with respect to the provision of metering services authorized by Services Tariff Section 13.3.

### **13.3.2.3 ISO Audits and Corrective Actions**

13.3.2.3.1 The ISO or its designated entity may, upon reasonable notice, perform an audit, inspection, and/or test of the Meter Services Entity's metering facilities, meters, and/or meter data records to ensure they comply with the ISO Tariffs and ISO Procedures and with the Meter Services Entity's plans submitted to the ISO. Meter Services Entities must comply with, i) periodic audits of meter data records and meter data collection and retention services and protocols provided to the Market Participant by the Meter Services Entity; and ii) audits of the metering facilities, meter data records and meter data collection and retention services and protocols utilized by the Market Participant and the Meter Services Entity when the Market Participant enrolls new resources or modifies the metering scheme of existing resources.

The ISO's audit of a MSE's services may involve, but is not limited to, the ISO's review, inspection, performance testing and review of corrective actions taken in the following categories:

- A) Validation, Estimation, & Editing (VEE) methodology;
- B) Site meter configurations;
- C) Meter compliance with ISO rules and procedures;
- D) Meter Services Entity operational protocols, procedures, and record keeping, and compliance with ISO rules and procedures; and
- E) Telemetry and communication data and records.

13.3.2.3.2 If the ISO determines, at any time, that a Meter Services Entity does not comply with the eligibility requirements or does not comply with the metering or meter data requirements set forth in the ISO Tariffs and ISO Procedures, the ISO may suspend or revoke the eligibility of the Meter Services Entity.

**15.10 Rate Schedule 10 - Charges for Market Participants utilizing a Meter Services Entity in the ISO Wholesale markets**

The ISO shall charge, and each Market Participant that uses a Meter Services Entity shall pay, the costs incurred by the ISO to audit a Market Participant's utilization of a Meter Services Entity for participation in the ISO wholesale markets. Meter Services Entities must comply with, i) periodic audits in accordance with ISO procedures and the ISO requirements found in Section 13.3.2.3.1 and ii) audits in accordance with ISO procedures and the ISO requirements found in Section 13.3.2.3.1 when the Market Participant enrolls new resources or modifies the metering scheme of existing resources.

The ISO shall calculate the costs of any such audits, inspections and/or tests and assess such charges each Billing Period as described in this Rate Schedule. The functions that will be subject to cost recovery will include only those costs that are incurred as a result of ISO's audit, inspection and/or test of the services being provided by a Meter Services Entity to a Market Participant. In accordance with ISO Procedures the ISO audit of a Meter Services Entity's services may involve, but is not limited to, the ISO review, inspection, performance testing and review of corrective actions taken in the following categories:

- (A) Validation, Estimation, & Editing (VEE) methodology
- (B) Site meter configurations
- (C) Meter compliance with ISO rules and procedures
- (D) Meter Services Entity operational protocols, procedures, record keeping, and compliance with ISO rules and procedures
- (E) Telemetry and communication data and records

ISO cost recovery for each audit will be the sum of the ISO's labor costs to complete each audit task conducted by the ISO based on an hourly rate and a prorated cost of overhead. In

addition, if the ISO utilizes a third party vendor to complete audit tasks, the cost of that vendor will be billed to the Market Participant utilizing a MSE for their meter services.

#### **15.10.1 Responsibilities**

The ISO shall calculate a Meter Services Entity audit services charge which shall be equal to the costs the ISO incurs in performing audits of Meter Services Entities, as described above in Services Tariff Section 13.3.2 for that Billing Organization.

#### **15.10.2 Charges**

In each Billing Period, the ISO shall assess any applicable Metering Services Entity audit services charges for each Billing Organization. Upon request, the NYISO will provide to the billing organization a detailed listing of the costs incurred for the given Billing Period.