

31.8 Appendix E – Generator Deactivation Notice Form

31.8.1 Instructions

31.8.1.1 Before a Generator may be Retired or enter into a Mothball Outage, the Market Participant must satisfy the requirements set forth in Section 31.2.11 of Attachment Y to the OATT, including submitting to the NYISO a completed Generator Deactivation Notice using the form set forth in this Appendix E of Attachment Y to the OATT, and providing the information required by Appendix F of Attachment Y to the OATT.

31.8.1.2 In accordance with the requirements set forth in Section 31.2.11.2.1 of Attachment Y to the OATT and ISO Procedures, the Market Participant shall submit to the NYISO via electronic mail (a) the Generator Deactivation Notice form to generator_retirement@nyiso.com and (b) all information required by Appendix F of Attachment Y to NYISO Stakeholder Services, to the attention of the Director of Market Mitigation and Analysis.

31.8.1.3 The NYISO will review the information received pursuant to Section 31.2.11.2.2 of the OATT to determine whether it is complete. The NYISO will notify the Market Participant to provide any additional information that is required in order for the Generator Deactivation Notice to be determined to be complete.

31.8.1.4 The twelve-month notice period applicable to a Generator(s) proposing to be Retired or enter into a Mothball Outage will begin to run on the date that the NYISO issues a written notice to the Market Participant indicating that the Generator Deactivation Notice (including the information received and supporting certification) are complete.

31.8.1.5 The Market Participant has a continuing obligation to timely submit additional information pursuant to Section 31.9.4 of Appendix F, under Attachment Y to the NYISO OATT, and as otherwise required under the ISO Tariffs. All such information shall be sent to NYISO Stakeholder Services, to the attention of the Director of Market Mitigation and Analysis.

31.8.2 Submitting Entity's Information

31.8.2.1 Name of entity submitting notice:

(“submitting entity”)

31.8.2.2 Submitting entity's interest in and relationship with Generator(s) (check all that apply):

☐ Owner (and if part owner, percent) of Generator(s)

☐ Operator of Generator(s)

☐ Market Participant

☐ Other _____

If the submitting entity is not both the owner and operator, provide the following information for (a) the owner, (b) the operator, (c) Market Participant, and (d) the submitting entity:

31.8.2.3 State of organization or incorporation:

31.8.2.4 Contact information

Name of contact person and alternate contact person, title, relationship to the submitting entity, mailing address, e-mail address, office phone number, and cell phone number:

31.8.3 Identity of Generator(s) Subject to Generator Deactivation Notice

Location: _____

Unit Name: _____ PTID _____ Nameplate Capacity in MW: _____

Unit Name: _____ PTID _____ Nameplate Capacity in MW: _____

Unit Name: _____ PTID _____ Nameplate Capacity in MW: _____

Unit Name: _____ PTID _____ Nameplate Capacity in MW: _____

Revenue Meter Location(s) (Use PTIDs):

31.8.4 Proposed Generator Deactivation

31.8.4.1 The Generator Deactivation Notice is for the Generator(s) (check one):

☐ to be Retired

☐ to enter into a Mothball Outage.

31.8.4.2 If the submitting entity is proposing to enter into a Mothball Outage, please check the box below to acknowledge that the Generator(s) is able to return to service within 180 days.

☐ Generator(s) is able to return to service within 180 days

Please note: If the submitting entity believes that there is good cause for why a Generator will not be able to return to service within 180 days, the submitting entity must separately provide for each such Generator the proposed

number of days for return and supporting information to the NYISO for review. The NYISO will determine whether the information provided satisfies the requirements of Section 5.18.3.2 of the ISO Services Tariff. If the Generator Deactivation Notice is for more than one Generator, and the response to this subsection 31.8.4.2 is not the same for all Generators, specify by Unit Name and PTID which Generators are able and which are not able to return to service within 180 days.

31.8.4.3 If the submitting entity is proposing for the Generator(s) to be Retired greater than 365 days after the Generator Deactivation Assessment Start Date (as that term is defined in Section 31.1.1 of Attachment Y to the NYISO OATT,) the desired retirement date is: [day] of [month] of [year].

31.8.4.4 If the Generator Deactivation Notice is proposing for the Generator(s) to enter into a Mothball Outage greater than 365 days after the Generator Deactivation Assessment Start Date, the desired date to enter into a Mothball Outage is: [day] of [month] of [year]. The submitting entity proposes to resume operation and participation in the ISO Administered Markets on: [day] of [month] of [year].

31.8.4.5 The submitting entity is interested in its Generator(s) being Retired or entering into a Mothball Outage in fewer than 365 days if the requirements for early deactivation are satisfied under the Gap Solution process in Section 31.2.11:

☐ Yes

☐ No

31.8.5 Acknowledgments

By submitting the Generator Deactivation Notice, the submitting entity acknowledges:

- After the NYISO determines that the Generator Deactivation Notice is complete, the NYISO will post a notice of that determination (and will notify the submitting entity.)
- If the submitting entity rescinds this Generator Deactivation Notice after the NYISO determines it to be complete, the submitting entity must reimburse the NYISO and the relevant New York Transmission Owner(s) in accordance with Section 31.2.11.15 of Attachment Y of the NYISO OATT the actual costs that each incurred in performing their responsibilities under Section 31.2.11 of Attachment Y of the NYISO OATT and Section 23.4.5.6 of the ISO Services Tariff in response to the submitting entity's submission of this Generator Deactivation Notice, including any costs associated with using contractors.

31.8.6 Submitted By:

Certification

The undersigned certifies that he or she is an officer of the submitting entity, that he or she is authorized to execute this Certification and submit this Generator Deactivation Notice on behalf of the submitting entity, and that the information and statements contained herein (including any and all attachments, and information required by Appendix F of Attachment Y to the NYISO OATT submitted herewith,) and in this certification are true and correct to the best of his or her information, knowledge and belief, having conducted due diligence.

Signature

Name: _____ Title: _____

Date: _____