nationalgrid

July 1, 2013

By Electronic Filing

The Honorable Kimberly D. Bose Secretary Federal Energy Regulatory Commission 888 First Street, N.E. Washington, D.C. 20426

Re: Niagara Mohawk Power Corporation d/b/a/ National Grid - Proposed Amendments to the Wholesale Transmission Service Charge Under the NYISO Open Access Transmission Tariff - Docket No. ER13-1182-000`

Dear Secretary Bose:

Pursuant to Section 205 of the Federal Power Act ("FPA"), 16 U.S.C. § 824d, and Part 35.13 of the Commission's Regulations, 18 C.F.R. Part 35.13, Niagara Mohawk Power Corporation d/b/a National Grid ("National Grid" or "Company") submits for filing an amendment to its March 29, 2013 filing in this proceeding, in accordance with directions provided by FERC staff in a deficiency letter issued on June 26, 2013 ("June 26 Letter"). On March 29, 2013, the Company proposed revisions to the FERC Open Access Transmission Tariff ("OATT") administered by the New York Independent System Operator, Inc. ("NYISO") to amend National Grid specific components of the Wholesale Transmission Service Charge ("Wholesale TSC") formula rate under the NYISO OATT ("March 29 Filing"). Specifically, National Grid proposed changes to its Scheduling, System Control and Dispatch Costs ("CCC") formula rate under the Wholesale TSC to incorporate costs incurred by the Company for Reliability Support Services ("RSS"). In the June 26 Letter, National Grid was directed to answer four questions and to amend its filing within 15 days.¹ The Company's responses to these questions are attached. National Grid requests that the changes proposed in the March 29 Filing be allowed to become effective for transmission services rendered on and after July 1, 2013. National Grid respectfully submits that its proposal is just and reasonable and should be accepted without suspension or hearing.

I. Description of Filing

The primary purpose of the March 29 Filing is to incorporate RSS expenses into the CCC component of the Company's Wholesale TSC formula rate which is set forth in Attachment H to the NYISO OATT. RSS expenses consist of temporary payments made by the Company to generators or other similar resources for the specific purpose of maintaining reliability on the Company's transmission system. NMPC began incurring such charges during calendar year 2012

¹ June 26 Letter at 3.

under the first of two agreements with NRG Energy, Inc. pursuant to which, NRG will defer mothballing and continue to operate certain generating units at its Dunkirk generating station in upstate New York pending the completion of upgrades to the Company's transmission system.

In order to effectuate this change, National Grid proposes to add a new item to the CCC component of the TSC formula rate, as set forth in Attachment H. Specifically, National Grid will add a "Reliability Support Services Expense" component to its formula rate that will be defined in Attachment H as "expenses incurred pursuant to agreements entered into with generators or other similar resources for the purpose of supporting transmission reliability." As explained in the testimony of Mr. James Holodak Jr. included with the March 29 Filing, among the various FERC Accounts, the Commission's explanation of costs to be included in FERC Account 561.1 best matches the description of RSS-type costs.²

As explained in the March 29 Filing, the Company plans to incorporate RSS expenses into the Wholesale TSC rate formula for the first time as part of National Grid's 2013 Annual Update filing which will establish TSC rates for service rendered July 1, 2013 through June 30, 2014. The Company's revenue requirement for calendar year 2012 will be used as the basis for determining its 2013 Annual Update, and the Company's actual RSS expenses incurred during 2012 under its agreement with NRG would be the first RSS expenses to be included in the Company's Wholesale TSC formula rate.

In addition to these revisions, the Company is proposing certain ministerial changes to Attachment H of the NYISO OATT to clarify and correct the application and definitions describing the TSC formula rate. These changes are also described in Mr. Holodak's testimony.³ None of these proposed ministerial changes has any revenue impact on the TSC rate.

As with the March 29 Filing, National Grid has consulted with the NYISO to determine a mutually acceptable means for National Grid to exercise its FPA Section 205 rights with respect to this amendment of the Wholesale TSC. While not sponsoring National Grid's filing in this proceeding, the NYISO is submitting this filing on National Grid's behalf under the Commission's etariff regulations. Thus, the NYISO is facilitating the exercise of National Grid's FPA Section 205 rights with respect to this portion of the NYISO OATT.

II. Contents of Filing

This filing consists of the following documents:

Filing Letter

² March 29 Filing, Exh. NMP-3 at 9-12. There is already a line item for Account 561.1 under the CCC component of the National Grid's TSC formula rate. However, as Mr. Holodak explains, RSS expenses will be assigned to Account 561.1 in addition to those, if any, already included in the CCC component. The Company is proposing to add language to the description of the CCC component in Attachment H to make clear that expenses accounted for in Account 561.1, to the extent that they are based on RSS costs, will be included in the CCC component.

³ March 29 Filing, Exh. NMP-3 at 12-14.

- Attachment A, Service List
- Attachment B, Responses to FERC Staff's Information Requests

III. Proposed Effective Date; Request for Waiver

National Grid requests an effective date of July 1, 2013 for the revisions to the Wholesale TSC formula rate, which is the effective date proposed in the March 29 Filing, and, to the extent necessary, requests waiver of the notice period required specified in 18 C.F.R. § 35.3(a). The July 1, 2013 effective date remains appropriate and good cause supports National Grid's request for waiver because, while National Grid is pleased to provide the additional information requested in the June 26 Letter to help Staff evaluate the Company's filing, that information is not required as part of the March 29 Filing under Part 35 of the Commission's regulations.

The information requested in the June 26 Letter concerns costs that National Grid may incur under RSS agreements after July 1, 2013. However, as explained above and in the March 29 Filing, the March 29 Filing did not propose the recovery of specific RSS costs, but rather a change in the Wholesale TSC formula rate to permit recovery of those costs when and if National Grid incurs them. That formula rate adjusts Wholesale TSC charges effective on July 1 of each year based primarily on the costs National Grid incurs during the previous calendar year (see March 29 Filing, transmittal at 5; NYISO OATT, Attachment H, § 14.1.9.4.1.3.1). Thus, the initial charges under the amended Wholesale TSC formula rate will, commencing with July 1, 2013, recover RSS costs that National Grid incurred during 2012. National Grid's statement of the impact of the proposed rate change during the first year after it takes effect, required by 18 C.F.R. § 35.13(c). accordingly was based on the 2012 RSS costs. (see March 29 Filing, transmittal at 4-5; Holodak Testimony at 12; Exh. NMP-4). For these reasons, while National Grid is providing the requested information regarding RSS costs it expects to incur in 2013 and later years to assist Staff's evaluation of the March 29 Filing, that information was not required by the Commission's regulations. The omission of that information from the March 29 Filing presents no valid basis for deferring the effective date of the revisions to the Wholesale TCS rate beyond July 1, 2013. Rather, deferring the effective date of those revisions would unfairly deprive National Grid of the opportunity to recover legitimate costs of providing transmission service.

National Grid respectfully requests the formula rate and associated tariff revisions be accepted for filing effective for transmission bills rendered for service on and after July 1, 2013. To the extent waiver is needed for this proposed effective date, National Grid hereby requests waiver.

IV. Communications

National Grid requests that all correspondence, pleadings and other communications concerning this filing be served upon the following persons to be designated for service:

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V. Service

Pursuant to Section 32.5(d) of the Commission's Regulations, a copy of this filing is being served electronically on National Grid's customers under the rate affected by this filing, the New York State Public Service Commission, the NYISO, parties either requesting or granted intervention in this proceeding and other interested parties to the April 2009 Settlement . The service list for this filing may be found in Attachment A to this filing letter.

VI. Request for Waiver

National Grid respectfully requests waiver of any requirements of the Commission's rules and regulations, as well as any authorization as may be necessary or required, to permit the revised rates to be accepted by the Commission and made effective in the manner proposed herein.

VII. Conclusion

For the foregoing reasons, National Grid respectfully requests that the Commission accept these proposed tariff changes without suspension, condition or modification, effective July 1, 2013.

Respectfully submitted,

/s/ Terry L. Schwennesen

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Attorney for Niagara Mohawk Power Corporation d/b/a National Grid

Attachments

The	Honorable	Kimberly	D.	Bose,	Secretary
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Attachment A

E-Mail List of Interested Parties upon Which this Filing was Served

E-Mail List of Interested Parties upon Which this Filing was Served

Village of Akron
Village of Andover
Village of Andover
Village of Arcade
Village of Bergen

Municipal Commission of Boonville

Village of Brocton
Village of Churchville
Village of Churchville
Village of Frankfort
Village of Holley
Village of Ilion

Village of Little Valley
Village of Mayville
Village of Mohawk
Village of Philadelphia
City of Salamanca
Village of Skaneateles
Village of Solvay

Village of Springville Village of Theresa Village of Wellsville Village of Wellsville Village of Westfield Village of Fairport

New York Municipal Power Agency/MEUA

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Lake Placid
Oneida Madison
Tupper Lake
Tupper Lake
Jamestown
Jamestown
Green Island
Richmondville

Sherrill Power & Light

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Allegheny Electric Cooperative Allegheny Electric Cooperative

Amerada Hess

American Electric Power
Dominion Energy Marketing

Dominion Energy Marketing

Duke Energy

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Conectiv Energy Supply

Coral Power LLC

Dayton Power and Light El Paso Merchant Energy Emera Energy Service Energy Endeavors LLC

Epcor

FPL Energy Marketing Gotham Energy marketing KeyTex Energy LLC

Merchant Energy Group of the Americas

Mirant Energy Trading Model City Energy AES New Energy NYSE&G Solutions

Public Service of Colorado

Pure Energy

PSEG Energy Resources and Trading PSEG Energy Resources and Trading

RBC Energy Services Seneca Energy II

Shell Energy North America (US) L.P.

Split Rock Energy, LLC Southern Company Energy

TransCanada Power Marketing LTD

TXU Energy Trading

Current External TSC Customers

Barclays Bank PLC Black Oak Capital LLC Black Oak Energy LLC BP Energy Company

BP Energy Company

Brookfield Energy Marketing, Inc. Brookfield Energy Marketing, Inc.

Bruce Power Inc.

Calpine Energy Service Calpine Energy Service Cargill Alliant, LLC Centre Lane Trading

BNP Paribas Energy Trading GP formerly Fortis Energy

Marketing

Citigroup Energy Citigroup Energy

City Power Market LLC City Power Market LLC

Constellation Energy Commondities Group

Constellation NewEnergy

Shell Energy North America (formerly Coral Power LLC)

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DC Energy LLC DTE Energy Trading **DTE Energy Trading Dynegy Power Marketing**

EDF Trading North America, LLC

Endura Energy LLC

Exelon Generation LLC (formerly PECO)

First Commodities

Capital Power Corporation

Hess Corporation

HQ Energy Services (US) Iberdrola Renewables

Integrys (formerly WPS) Energy Services

J. Aron and Company

J.P. Morgan Louis Dreyfus

MAG Energy Solutions Macquarie Energy LLC

Merrill Lynch Model City Energy Morgan Stanley

NextEra Energy Power Marketing LLC

Noble Americas Gas & Power Northern States Power NorthPoint Energy **NRG** Power Marketing

NYPA

NYSEG (LSE) NYSEG (LSE)

Ontario Power Generation Powerex Corporation PP&L Energy Plus Co

PSEG Energy Resources & Trading, LLC

Iberdrola Renewables, Inc.

Rainbow Energy

Rochester Gas and Electric Saracen Merchant Energy LP Sempra Energy Trading LLC SESCO Enterprises LLC

SIG Energy LLP Strategic Energy, Ltd. TransAlta Energy Mar

TransCanada Power Marketing Twin Cities Power Generation

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CCS Energy State of Vermont DPS NY State DPS NY State NYISO

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Massachusetts Municipal Wholesale Electric Company

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FERC

Municipal Electric Utilities Association of New York State

FERC

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Attachment A

CERTIFICATE OF SERVICE

I hereby certify that I have served by electronic mail the foregoing document upon all parties on the email service list of Interested Parties provided in Attachment A pursuant to the requirements of the Settlement in the above-captioned proceedings.

Dated at Waltham, MA, this 1st day of July, 2013.

/s/ Terry Schwennesen

c/o National Grid 40 Sylvan Road Waltham, MA 02451 (401) 480-9051

The	Honorable	Kimberly	D.	Bose,	Secretary
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Attachment B

Responses to FERC Staff's Information Requests

Niagara Mohawk Power Corporation d/b/a National Grid FERC Docket No. ER 13-1182 Attachment B Page 13 of 4

Responses to FERC Staff's Information Requests - Request 1

Request:

National Grid requests that the formula rate and OATT revisions be accepted for filing effective for transmission bills rendered for service on and after July 1, 2013. However, Exhibit No. NMP-4, Statement BG - BL describe rate changes from test year 2011 compared to expected 2012 rates. What, if any, RSS expenses incurred prior to July 1, 2013 will be billed for transmission service that occurs on or after July 1, 2013?

Response:

The Wholesale TSC formula rate charges during each rate year (July 1 through June 30) are based primarily on costs incurred during the previous calendar year. See NYISO OATT, Attachment H, § 14.1.9.4.1.3.1. This is how the proposed revisions to the formula rate would recover RSS costs. Accordingly, the RSS expenses reflected in Exhibit No. NMP-4, Statement BG -BL, were all incurred in 2012; from the period September, 2012 through December, 2012. Those expenses are to be recovered in charges under the Wholesale TSC formula rate for service rendered July 1, 2013 through June 30, 2014. RSS expenses incurred from the period January, 2013 through December 31, 2013 would recovered in charges billed for services rendered under the Wholesale TSC formula rate from July 1, 2014 through June 30, 2015.

Niagara Mohawk Power Corporation d/b/a National Grid FERC Docket No. ER 13-1182 Attachment B Page 2 of 4

Responses to FERC Staff's Information Requests - Request 2

Request:

National Grid states that a second agreement provides for reliability service from one Dunkirk unit from June 1, 2013 through May 31, 2015. Please explain whether all transmission service billing for RSS under these revisions to the NYISO OATT Attachment H end on or before May 31, 2015?

Response:

Payments under the second agreement for reliability services, similar to the first agreement, comprise monthly fixed price charges, property tax and take or pay adjustments, and revenue credits. The company is estimating two small property tax adjustments in the beginning of 2016. RSS expenses incurred in the period January through December, 2015 would be billed through transmission billings from the period July 1, 2016 through June 30, 2017. Costs incurred from the period January 1, 2016 through December 31, 2016 would be billed through transmission billings for service from the period July 1, 2017 through June 30, 2018.

Niagara Mohawk Power Corporation d/b/a National Grid FERC Docket No. ER 13-1182 Attachment B Page 3 of 4

Responses to FERC Staff's Information Requests - Request 3

Request:

The Holodak Testimony describes the expected total increase in the Wholesale Transmission Service Charge rate as \$1,510,443 for 2012. What transmission service billing will occur as a result of the first agreement with Dunkirk ending May 31, 2013 and what transmission service billing is expected to occur as a result of the second agreement that runs through May 31, 2015?

Response:

As explained in response to Request No. 1, the Wholesale TSC charges during the Period July 1, 2013 through June 30, 2014 are entirely associated with costs under the first agreement. In the transmission billings from the period July 1, 2014 through June 30, 2015, the Company estimates that \$1,330,705 would be billed as a result of the first agreement with Dunkirk ending May 31, 2013. For the second agreement the company estimates billing \$1,451,200 for the period July 1, 2014 through June 30, 2015. Estimated billings under the second agreement for the periods after June 30, 2015 are shown in the response to Request 4.

Niagara Mohawk Power Corporation d/b/a National Grid FERC Docket No. ER 13-1182 Attachment B Page 4 of 4

Responses to FERC Staff's Information Requests - Request 4

Request:

National Grid provides several calculations and expectations as Exhibits No. NMP-1 - 4 to its filing. However, none of these exhibits describe the expected RSS costs in years 2013, 2014 and 2015 when the second agreement ends. Please provide an update in this record of the total expected increases in transmission service billing by describing expected changes in both the Niagara Mohawk Power Corporation Annual Revenue Requirement and Wholesale Transmission Service Charge.

Response:

Below please find a schedule of estimated RSS costs payable under the Dunkirk agreements and the years the costs would be recovered. Note that under the Company's proposal, additional RSS costs could be incurred under other agreements, but no other agreements are anticipated at this time.

	Estimated Costs to be included in Scheduling System Control and Dispatch Costs (CCC)	Total Annual Billing Units (BU) MWh	Rate \$/MWh	Wholesale TSC Rate Billing Units	Amount Forecasted to be Billed in TSC Rates
Calendar Year 2012 Payments to NRG, Billed Transmission rates July 1 2013 - June 30 2014	17,691,625	37,212,621	0.47542	3,177,070	1,510,443
January through May Payments to NRG, Billed Transmission rates July 1 2014 - June 30, 2015 June through December Payments to NRG, Billed Transmission rates July 1 2014 - June 30, 2015	15,586,383 16,997,723	37,212,621 37,212,621	0.41885 0.45677	3,177,070 3,177,070	1,330,705 1,451,200
Total 2013 Payments Billed, Transmission rates July 1, 2014 - June 30, 2015	32,584,106	37,212,621	0.87562	3,177,070	2,781,905
Total 2014 Payments to be Billed through Wholesale rates July 1, 2015 - June 30, 2016	34,844,083	37,212,621	0.93635	3,177,070	2,974,854
Total 2015 Payments to be Billed through Wholesale rates July 1, 2016 - June 30, 2017	17,697,583	37,212,621	0.47558	3,177,070	1,510,951
Total 2016 Payments to be Billed through Wholesale rates July 1, 2017 - June 30, 2018	1,240,041	37,212,621	0.03332	3,177,070	105,870