

July 23, 2021

By Electronic Delivery

Honorable Kimberly D. Bose, Secretary  
Federal Energy Regulatory Commission  
888 First Street, NE  
Washington, DC 20426

**Re: *New York Independent System Operator, Inc.*; Notice of Effective Date  
for OATT Revisions, Docket No. ER21-486-00\_\_\_\_**

Dear Secretary Bose:

On November 25, 2020, the New York Independent System Operator, Inc. (“NYISO”) filed proposed revisions to its Market Administration and Control Area Services Tariff (“Services Tariff”) and Open Access Transmission Tariff (“OATT”) to implement certain enhancements to its credit requirements for Transmission Congestion Contracts (“TCCs”).<sup>1</sup> On January 12, 2021, the Federal Energy Regulatory Commission (“Commission”) issued an order accepting the NYISO’s proposal (“January 12 Order”).<sup>2</sup>

The January 12 Order directed the NYISO to submit a subsequent compliance filing(s) to provide notice of the expected effective date(s) for the proposed tariff revisions “no later than 14 days prior to the date on which the revisions will become effective.”<sup>3</sup> The NYISO submits this filing to provide notice of the expected effective date for the revisions to the OATT accepted by the January 12 Order.<sup>4</sup>

The NYISO will submit a subsequent filing to provide notice of the expected effective date for the revisions to Attachment K of the Services Tariff accepted by the January 12 Order.<sup>5</sup> The NYISO continues to anticipate that the revisions to Attachment K of the Services Tariff will become effective in October 2021.<sup>6</sup>

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<sup>1</sup> Docket No. ER21-486-000, *New York Independent System Operator, Inc.*, Proposed Tariff Revisions to Enhance TCC Credit Requirements (November 25, 2020) (“November 25 Filing”). Capitalized terms not otherwise defined herein shall have the meaning specified in the Services Tariff and the OATT.

<sup>2</sup> Docket No. ER21-486-000, *supra*, Letter Order (January 12, 2021).

<sup>3</sup> *Id.*

<sup>4</sup> November 25 Filing at 3-7.

<sup>5</sup> *Id.* at 3-4 and 7.

<sup>6</sup> *Id.* at 7.

## **I. Documents Submitted**

The NYISO respectfully submits the following with this filing letter:

1. A clean version of the revisions to the OATT accepted by the January 12 Order with an effective date of August 6, 2021 (“Attachment I”).

## **II. Notice of Effective Date**

To facilitate the proposed earlier re-evaluation of the credit requirements for the second year of previously sold two-year TCCs, the NYISO proposed revisions to the OATT to provide authority to conduct a single round Sub-Auction for one-year TCCs with an effective period equivalent to the second year of previously sold two-year TCCs.<sup>7</sup> The NYISO plans to conduct the first such single round Sub-Auction as part of the upcoming Centralized TCC Auction administered in advance of the 2021/2022 Winter Capability Period Auction (*i.e.*, the “2021 Autumn Centralized TCC Auction”). The market-clearing prices determined in this single round Sub-Auction would provide data to facilitate the re-evaluation of the credit requirements for the second year of the two-year TCCs sold in the Centralized TCC Auction conducted prior to the 2021 Summer Capability Period (*i.e.*, the “2021 Spring Centralized TCC Auction”). Accordingly, the NYISO will implement the revisions to the OATT accepted by the January 12 Order in advance of the upcoming 2021 Autumn Centralized TCC Auction.

In compliance with the January 12 Order, the NYISO hereby provides notice to the Commission that it expects to implement the revisions to the OATT accepted by the January 12 Order on August 6, 2021.<sup>8</sup> Attachment I provides a clean version of the relevant tariff revisions with an effective date of August 6, 2021.

The NYISO continues to anticipate that the software enhancements associated with the revisions to Attachment K of the Services Tariff accepted by the January 12 Order will be implemented in October 2021. The NYISO will submit a subsequent filing in this proceeding to provide the required advance notice of the expected effective date for these tariff revisions.

## **III. Service**

The NYISO will send an electronic link to this filing to the official representative of each party to this proceeding, the official representative of each of its customers, each participant on its stakeholder committees, the New York State Public Service Commission, and the New Jersey Board of Public Utilities. In addition, the NYISO will post this filing on its website at [www.nyiso.com](http://www.nyiso.com).

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<sup>7</sup> *Id.* at 3-7.

<sup>8</sup> Should the NYISO determine that it will be unable to implement these tariff revisions on August 6, 2021, it will promptly notify its Market Participants and the Commission.

#### **IV. Conclusion**

The NYISO respectfully requests that the Commission accept this compliance filing.

Respectfully submitted,

/s/ Garrett E. Bissell

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## **CERTIFICATE OF SERVICE**

I hereby certify that I have this day served the foregoing document upon each person designated on the official service list compiled by the Secretary in this proceeding in accordance with the requirements of Rule 2010 of the Rules of Practice and Procedure, 18 C.F.R. §385.2010.

Dated at Rensselaer, NY this 23<sup>rd</sup> day of July, 2021.

/s/ Mohsana Akter

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